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Accepted manuscript

# 8 **On the resistance to heat flow across soil-structure interfaces**

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## 17 **ABSTRACT:**

18 A number of recent publications have suggested that in order to reproduce thermal testing of energy piles,  
19 a finite value for the geo-contact thermal resistance (geo-CTR) at the soil-structure interface needs to be  
20 introduced. There is currently no guidance as to what value the geo-CTR should have. The geo-CTR will have  
21 two potential impacts in terms of the use of energy geo-structures, (i) reducing heat exchange efficiency, and  
22 (ii) increasing temperature changes and associated mechanical impacts within the geo-structure. This article  
23 sets out a new experimental method for quantifying the geo-CTR. The proposed method is based on the  
24 imposition of a heat flux through the two solid materials that form the contact. Its novelty rests with the  
25 acknowledgement that heat loss is inevitable and that the geo-CTR can be more reliably defined based on  
26 heat flow measurements at the actual contact. This concept is demonstrated via numerical modelling of a  
27 generic test set-up, where the errors induced by not accounting for heat loss, the interpolation of  
28 temperatures to the contact and the presence of the heat flow sensor were assessed. Initial test results are  
29 then presented that demonstrate how the method works. These results suggest that for a dry medium sand,  
30 while the geo-CTR is sensitive to the soil density, it is small and the effect on heat transfer is also likely to be  
31 small. Further testing will explore the relative importance of a number of factors and in particular, the soil  
32 type, on the geo-CTR.

33

34 **KEY WORDS:** contact resistance; energy geostructure; geotechnics; heat transfer; laboratory test

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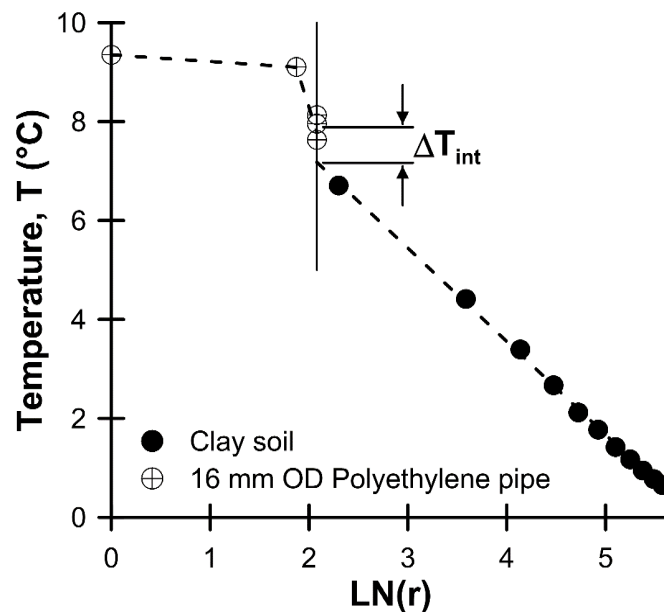
## 36 1. INTRODUCTION

37 When heat flows between two differing solid materials in contact with each other, there will be a finite  
38 contact thermal resistance (CTR) developed at the interface which is influenced by amongst other things  
39 geometric irregularities, surface micro-hardness, surface cleanliness, contact pressure, thermal conductivity  
40 of the solids at the contact and interstitial materials, Yovanovich (1999) [1]. Typical values for the CTR on  
41 ceramic-ceramic interfaces lie in the range of 0.0003 to 0.002 m<sup>2</sup>K/W (Yovanovich, 1999) [1]; on rough metal-  
42 metal surfaces it may be similar or even higher, while on smooth metal-metal interfaces it can be an order  
43 of magnitude lower.

44 The range of likely values for CTR are such that its effect is likely to be small for insulators whose thermal  
45 resistance is many orders of magnitude greater but is significant for metals whose thermal resistance is  
46 similar to measured values of CTR. Materials associated with geo-heat exchange (e.g. soil, rock, grouts and  
47 concrete) offer thermal resistance values between those of insulators (1-2 orders of magnitude greater) and  
48 metals (1-2 orders of magnitude lower), and it is not immediately apparent if the CTR effect is significant for  
49 geo-heat exchange systems or not. In principle, while the scale is different the same factors as described  
50 above will affect geo-contacts – geometric irregularities will occur depending on how the geo-structure is  
51 constructed in the ground, there will be a variety of differing contacts (particle-particle, cement paste-  
52 particle) and depending on the soil density and confining pressure, varying proportions of voids, which maybe  
53 be dry (air filled voids), partially saturated or fully saturated with water, or other fluids and gases.

54 In borehole heat exchangers, the borehole is modelled as a lumped resistance which includes the effect of  
55 the constituent materials and their contacts, within the heat exchanger system, Beier & Smith (2002) [2].  
56 When it comes to geo-structures, the situation is more complex; a lumped resistance approach may be able  
57 to be used for piles but for planar structures such as walls, such an approach may no longer be reasonable.  
58 Geo-contact thermal resistance (geo-CTR) will have two impacts in the operation of energy geo-structures:  
59 reduced heat exchange efficiency, and increased temperature changes with associated mechanical impacts  
60 within the geo-structure.

61 Svec et al. (1983) [3] investigated the heat exchange between plastic pipes of varying configuration and a  
62 saturated clay soil using a benchtop testing apparatus. Based on a comprehensive set of observations,  
63 Figure 1, they were able to evaluate the thermal resistance of the various components, including the pipe-  
64 soil geo-CTR,  $R_{int}$ , for which values in the range of 0.19 to 0.45 m.K/W (0.003 to 0.007 m<sup>2</sup>K/W) associated with  
65 temperature drops,  $\Delta T_{int}$  of 0.3 °C to 0.7°C, were obtained. Differences in the geo-CTR between heating and  
66 cooling were noted and attributed to the differing thermal expansion properties of the soil and tube. The  
67 results presented by Svec et al. (1983) [3] suggest that the geo-CTR may represent 5% to 10% of the total  
68 thermal resistance of a borehole heat exchanger.



**Figure 1. Radial temperature variation through polyethylene pipe embedded in clay, from Svec et al. (1983) [3]**

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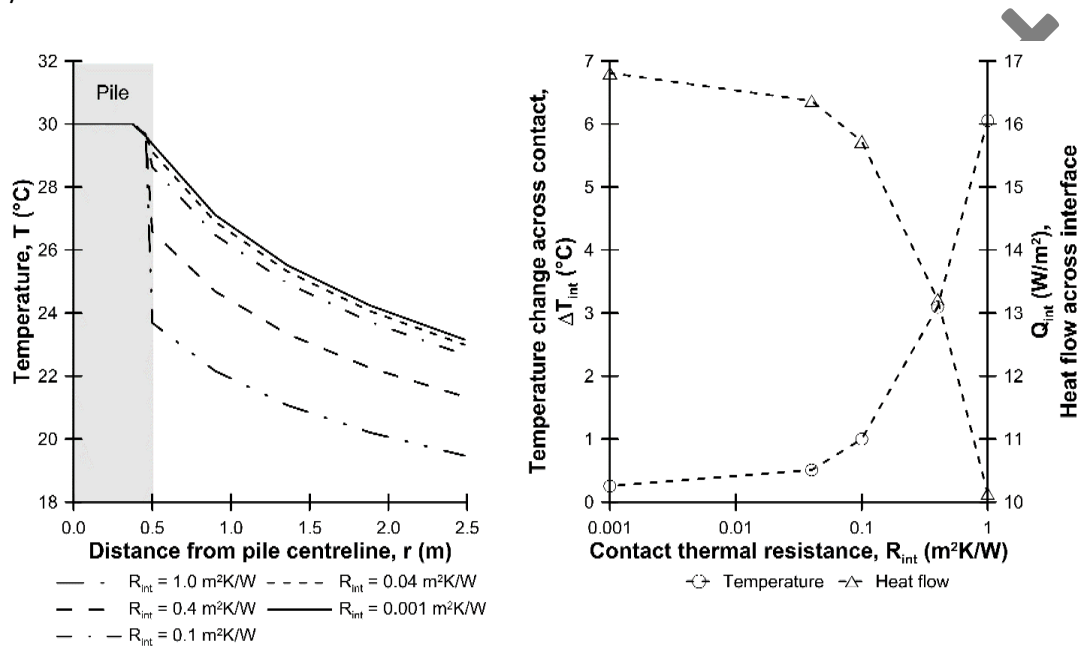
70 Hellstrom (1991) [4] recognised that heat transfer between the heat exchanger and the surrounding ground  
 71 involves geo-CTR. However, rather than being an intrinsic property of the interface, it is attributed to ill-fitting  
 72 borehole liners leaving an irregular contact with the surrounding ground. The geo-CTR is then identified as  
 73 being a function of the characteristic thickness of the surrounding gap and the thermal conductivity of the  
 74 in-fill material. Wang et al. (2016) [5] develop a theoretical model for evaluating the impact of geo-CTR on  
 75 the heat loss performance in heavy-oil well bores. As with Hellstrom (1991) [4], they ascribe this resistance  
 76 to irregularities in the contact between the natural ground and the grout body within the well bore. Wang et  
 77 al. (2016) [5] then apply this model to the back-analysis of field data and demonstrate that in this instance,  
 78 the geo-CTR effect may be significant.

79 In studies modelling heat flow from buildings to the ground, varying assumptions seem to be made regarding  
 80 the geo-CTR; either it is ignored (zero geo-CTR) or a finite value used. When ignored, the assumption  
 81 regarding the geo-CTR is usually not stated explicitly. Thomas & Rees (1999) [6] used a value of 0.04 m<sup>2</sup>K/W  
 82 which is taken from ISO 6946 (2007) [7] but this corresponds to an air-surface contact with an air flow velocity  
 83 of 4 m/s, and its use was not discussed. Al-Temeemi & Harris (2003) [8] used a value of 0.005 m<sup>2</sup>K/W  
 84 recognising that there will be some geo-CTR at the soil-structure interface and it is likely to be lower than  
 85 that of an external air-contact surface, but no further justification was given.

86 More recently, in the back-analysis of thermal response tests on energy piles, Qi (2015) [9] has suggested  
 87 geo-CTR values in the range of 0.25 m<sup>2</sup>K/W (cooling) and 0.17 m<sup>2</sup>K/W (heating), while Cecinato et al. (2016)  
 88 [10] resorted to a fictional “air gap” of 1 cm thickness, equivalent to a geo-CTR of 0.35 m<sup>2</sup>K/W, in order to  
 89 reproduce thermal response tests. Although it should be borne in mind that these values are arrived at from  
 90 back-analysis and will depend on many other assumptions regarding inputs in the respective analyses, the

91 reported values suggest that the CTR in geo-heat exchange problems may be significant. Not accounting for  
 92 this effect may impact on the reliability of thermal and thermo-mechanical analysis, and perhaps the  
 93 sustainability of heat exchange via energy geostructures.

94 Freitas Assunção (2014) [11] examined the impact of varying the geo-CTR on a pile-soil interface and Figure  
 95 2 shows how in the case examined, for the range of geo-CTR values discussed above, temperature change  
 96 and heat flow across the pile-soil interface will change very quickly should the geo-CTR increase beyond about  
 97  $0.04 \text{ m}^2\text{K/W}$ .



98 **Figure 2. Effect of geo-contact thermal resistance on energy pile heat transfer, after [11]**

99 In this paper, the basis for a new laboratory test method to evaluate the geo-contact thermal resistance (geo-  
 100 CTR) is described and evaluated alongside generic numerical analysis of the problem, undertaken as part of  
 101 the development of the test procedure. Subsequently, initial testing results for a geo-contact between a fine  
 102 sand at two different states of compaction and a limestone aggregate based concrete are presented to  
 103 demonstrate the application of the method for determining geo-CTR in practice.

## 104 **2. Geo-CTR TEST METHODOLOGY**

### 105 **2.1. General**

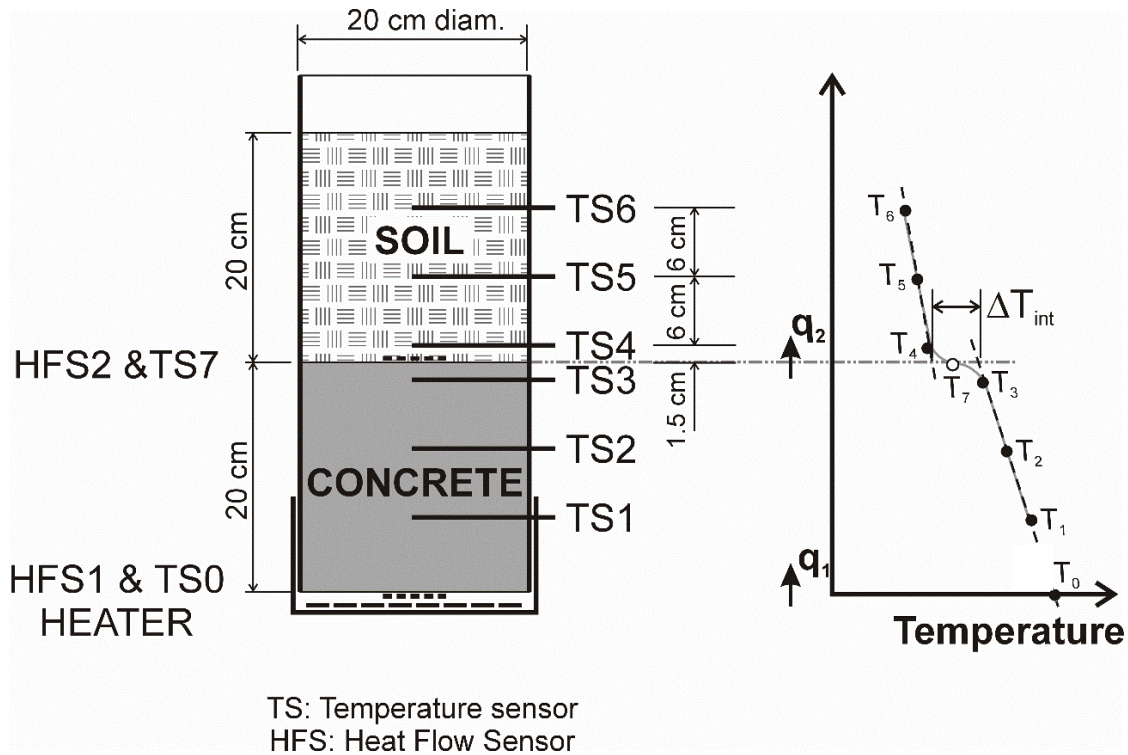
106 The test method employed has been scaled up from methods employed for obtaining the contact  
 107 thermal resistance across metal-to-metal contacts, e.g. Xian et al. (2018) [12] and Madhusudana (2000) [13].  
 108 Existing test procedures set-out to establish one-dimensional (no heat loss), approximately steady-state heat  
 109 flow across two samples, then temperature measurements along the sample centreline are extrapolated to  
 110 the contact to obtain the change in temperature at the contact ( $\Delta T_{int}$ ), and an average heat flux through the  
 111 samples (typically obtained from meter-bars at each end) is used as a measure of the heat flux at the contact  
 112 ( $q_2$ ). The CTR is then estimated through Equation (1),

113  $R_{int} = \frac{\Delta T_{int}}{q_2}$  (1)

114 Xian et al. (2018) [12] identify these steady-state methods as being the most appropriate for bulk materials.

115 Figure 3 provides a schematic layout of such a test modified for the current application; a concrete sample  
 116 and soil sample are contained within a PVC tube which itself will be contained within a larger enclosure that  
 117 provides support and additional thermal insulation. The test procedure is as follows:

- 118 1. Heat is applied at the base of the concrete sample through a heating pad and the input heat flux ( $q_1$ )  
 119 and temperature ( $T_0$ ) are recorded by a thin-film heat flux sensor) with an integrated Type-K  
 120 thermocouple temperature sensor (HFS1 & TS0);
- 121 2. Along the centreline of the samples, the temperature is continuously recorded at various points by  
 122 temperature sensors (TS1 to TS6)
- 123 3. The heat flux ( $q_2$ ) across the concrete-soil interface (geo-contact), is measured with a second thin-  
 124 film heat flux sensor (HFS2 & TS7);
- 125 4. The temperature variation through each material ( $T_1$  to  $T_3$  and  $T_4$  to  $T_6$ , in the concrete and soil  
 126 samples respectively) is plotted and extrapolated to the geo-contact (Figure 3), from which it is  
 127 possible to estimate the change in temperature across the geo-contact ( $\Delta T_{int}$ );
- 128 5. Having determined  $\Delta T_{int}$  and using the measured  $q_2$  values, the geo-CTR can be estimated through  
 129 Equation (1).



130 **Figure 3. Schematic layout of geo-contact thermal resistance test**

131 The key to the test methodology propose in this paper is the inclusion of a thin-film heat flow sensor at the  
 132 interface (HFS2, Figure 3). This sensor was added as it was recognised that heat flow is not one-dimensional

133 and not considering radial heat losses would lead to significant errors in the derived CTR, Madhusudana  
134 (2000) [13]. Low et al. (2017) [14] confirm the short comings of assuming a one-dimensional heat flow  
135 mechanism in thermal cell measurements of soil thermal conductivity. Further, Mondal et al. (2016) [15]  
136 used multiple heat flow sensors embedded in the soil sample to compensate for heat losses when  
137 determining the thermal conductivity of soils in a thermal cell.

138 In principle, the interpretation of the geo-CTR test could also be undertaken using inverse heat conduction  
139 analysis, however this is not as straightforward as it would first appear as the heat flow problem is not one-  
140 dimensional due to the heat losses, which is the usual assumption in reported application of this technique,  
141 Asif et al. (2019) [16], Shojaeefard et al. (2009) [17], and as a consequence there are a multitude of  
142 parameters and boundary conditions that are not well defined. An approach for inverse-analysis of the tests  
143 is in development, and may result in the need for additional heat flow and/or temperature measurements  
144 during the test to ensure accurate numerical modelling.

145 Prior to constructing the test rig, a number of numerical analyses were undertaken in order to understand  
146 where heat was flowing in the test specimen and whether reliable results could be obtained; these are  
147 described in the following section before detailing the test set-up and procedures.

## 148 **2.2. Numerical analysis of Geo-CTR tests method**

### 149 **2.2.1. Basis for analyses**

150 Before setting up the testing equipment, the principles of the test method were investigated by  
151 means of transient thermal analysis carried out with the commercial finite element analysis software  
152 ABAQUS Standard 2016. Two axisymmetric model geometries were considered:

- 153 1) The first considered perfect axial heat flow through the two conducting materials: concrete and soil, with  
154 adiabatic side boundaries ensuring no radial heat losses, Figure 4(a). The objective of the analyses made  
155 with this model was to provide a comparison with the case where heat losses were considered. The cases  
156 analysed differed in how the soil-air surface boundary condition was specified, i.e. Case A: Constant  
157 temperature (20°C) and Case B: Convection boundary conditions with a surface conductance,  $h = 10$   
158  $W/m^2K$  and an air temperature of 20°C. The thermal conductance value of  $10 W/m^2K$  used for the upper  
159 surface boundary condition is considered representative of the likely value and includes a thermal  
160 radiation component, Figure 5.
- 161 2) From the outset, it was recognised that radial heat losses were inevitable and so as to be able to  
162 understand the impact of these losses, analyses were undertaken based on what were considered  
163 realistic material properties and boundary conditions, and a Case C model representing the proposed  
164 test set-up was developed based on Figure 4(b).

165 Figure 4 shows a schematic of the finite element models including the assumed boundary conditions, and a  
166 table that details the thermal properties adopted for each of the materials modelled. All the materials (soil,



167 concrete and contact) were characterised by bulk thermal properties which are assumed to be temperature  
 168 and pressure independent. This is considered reasonable given the range of temperature and confining  
 169 pressure considered in the analysis. The finite element mesh was generated using quadrilateral 4-node linear  
 170 finite elements with three differing mesh densities, Mesh 1: 10 mm x 10 mm, Mesh 2: 5 mm x 5 mm and  
 171 Mesh 3: 2.5 mm x 2.5 mm. It should be noted that these analyses were based on a set of generic material  
 172 thermal properties and boundary conditions, with the aim of evaluating various aspects of the proposed test  
 173 methodology, and were not intended to represent the tests presented later.

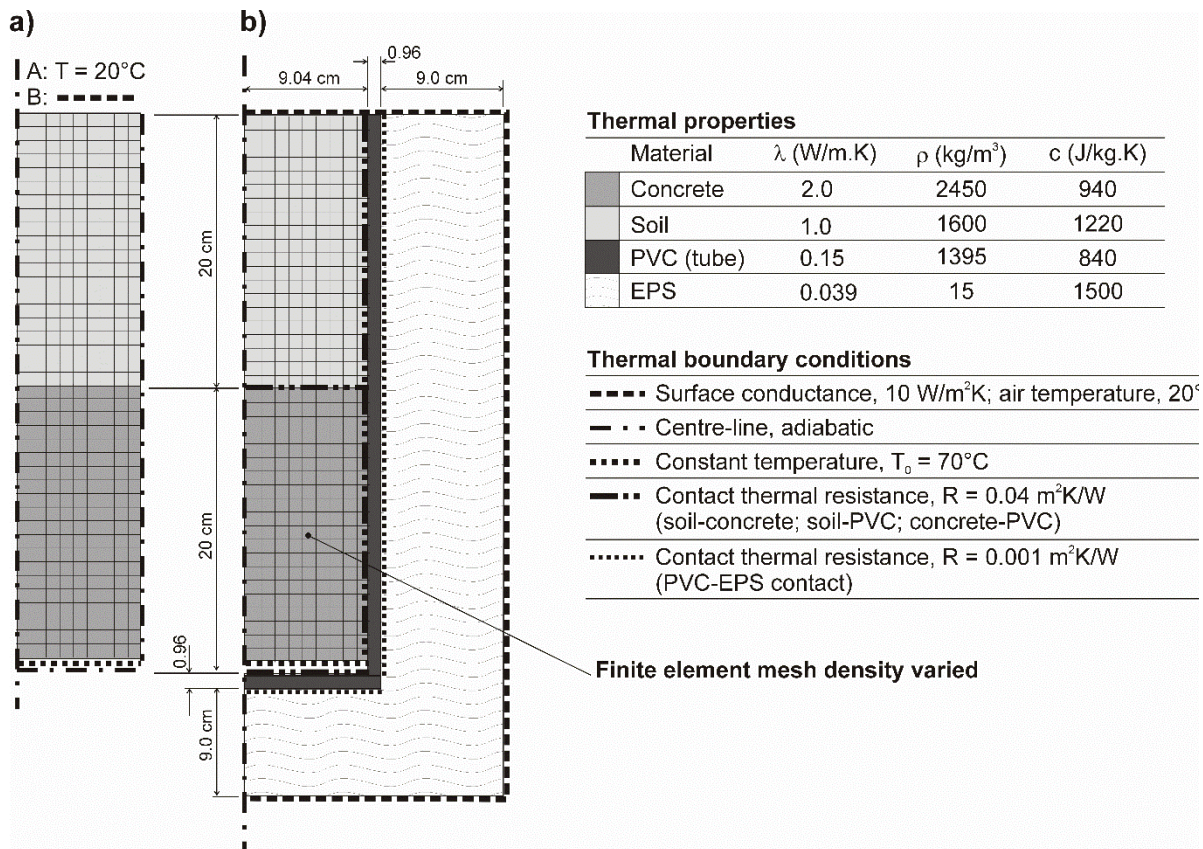


Figure 4. Schematic of finite element model geometry

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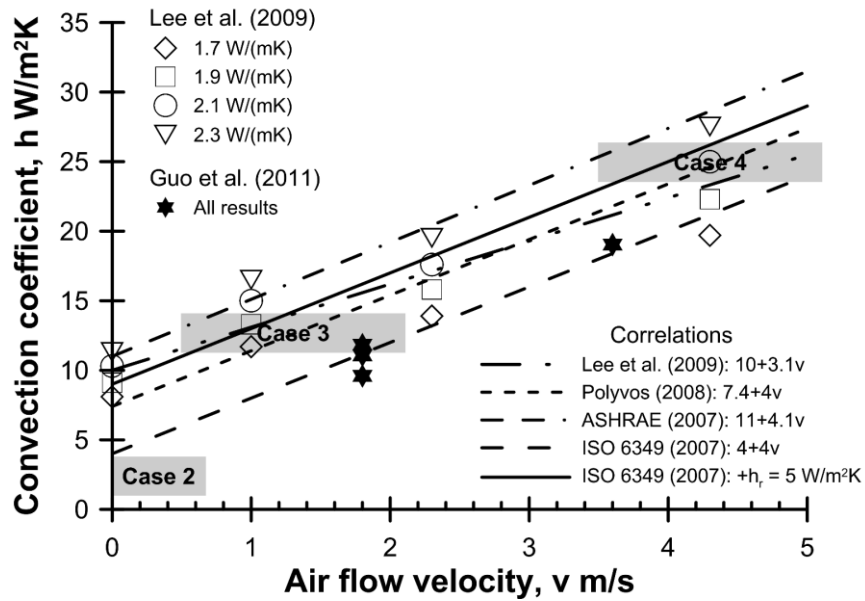


Figure 5. Comparison of correlations for forced convective heat transfer coefficient, Bourne-Webb et al. (2016) [18]

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### 176 2.2.2. Heat flow through the test elements

177 Figure 6 illustrates for Case C, a) the evolution of temperature at key points along the test sample's  
 178 centreline as the test proceeds, and b) the temperature distribution along the axis of the model at various  
 179 times during the test. It is apparent that the heat flow approaches a steady-state condition after about 2  
 180 days. The temperature distribution from Cases A and B at 6 days are also shown in Figure 6(b); the absolute  
 181 values along the profiles differ due to the different boundary conditions assigned at the upper soil-air surface,  
 182 however, either side of the interface, the temperature variation is linear. In contrast, in Case C, lateral heat  
 183 loss led to larger temperature drops across the concrete and soil, and a nonlinear variation in temperature  
 184 along the sample, even when close to steady-state conditions were achieved.

185 The temperature drop across the interface,  $\Delta T_{int}$  predicted in each of the analyses is illustrated in Figure 7(a).  
 186 Given that in the three analyses, the same geo-CTR is assumed, it is clear that the change in temperature  
 187 recorded across the contact also depends on the other imposed boundary conditions. In Figure 6, to either  
 188 side of the contact between the concrete and the soil, it is apparent that the temperature gradient in Case A  
 189 is larger than in B; the heat flow is therefore higher and thus, for a given CTR, the temperature drop must be  
 190 larger. The gradient in the temperature profile in Cases B and C are similar and flatter, which is why the  
 191 temperature drop is smaller.

192

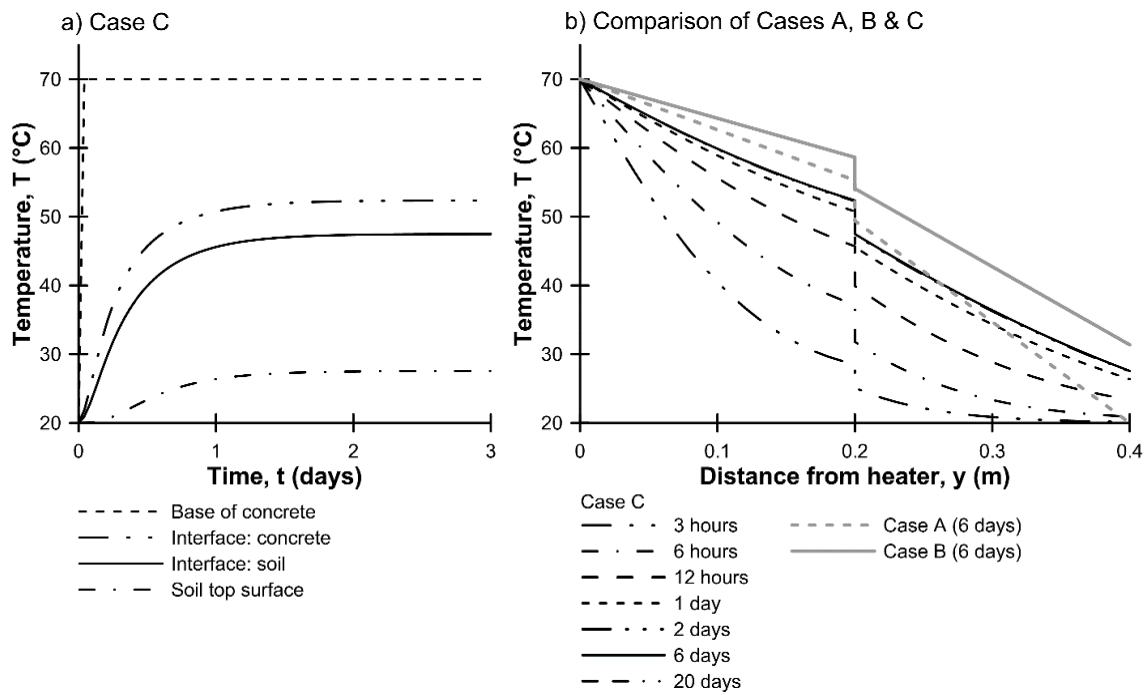


Figure 6. Evolution of centre-line temperatures

193

194 Figure 7(b) shows the relative error in the estimation of the geo-CTR by application of Equation (1), based on  
 195 the temperature drop in Figure 7(a) and using the input heat flux  $q_1$  from the base of the concrete (Figure 3).  
 196 In Cases A and B, the relative error approached zero between 2 to 3 days after heating started. However, in  
 197 Case C the relative error remains about 10%. This is a direct consequence of the heat losses occurring  
 198 between the base of the sample and the interface, which leads to the input heat flux,  $q_1$  not being  
 199 representative of the heat flux at the contact.

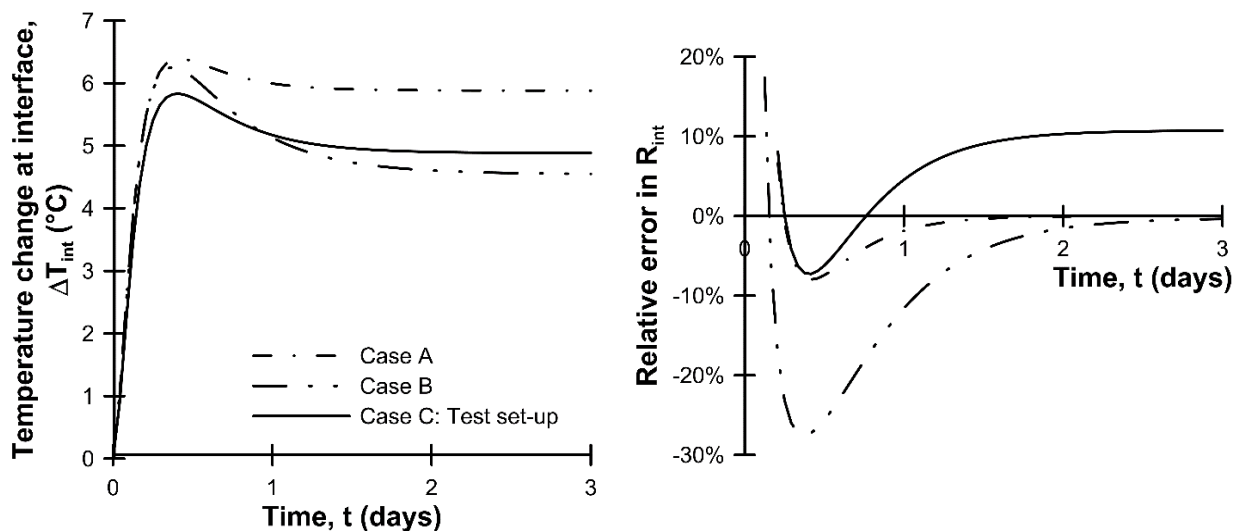
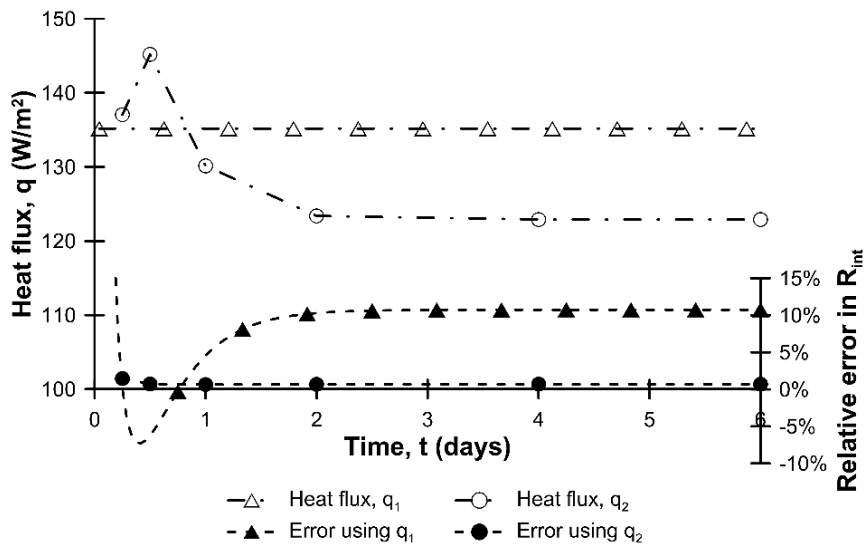


Figure 7. a) Evolution of temperature change across Geo-contact and b) Relative error in evaluation of Geo-CTR when using constant input flux,  $q_1$  at base of test sample

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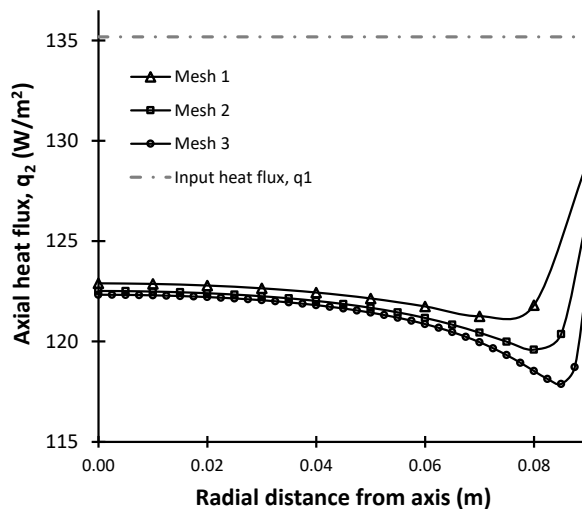
201 This discrepancy in the estimation of the geo-CTR resistance was resolved by the use of the heat flux at the  
 202 interface,  $q_2$  (Figure 3). Due to the heat losses, the value of  $q_2$  in Case C, was about 9% lower than in the

203 idealised Case B, and the relative error quickly reduces to a residual value of 0.7%, Figure 8. This confirms the  
 204 need for direct measurement of the heat flux across the interface in order to obtain a reliable estimate of  
 205 the geo-CTR.



**Figure 8. Effect of location of heat flux measurement on the evaluation of geo-CTR (Input heat flux,  $q_1$ ; Interface heat flux,  $q_2$  see Figure 3)**

206  
 207 To investigate this residual error value further, the finite element mesh was refined in the vicinity of the geo-  
 208 contact. It was found that in terms of the temperature variation on the centreline across the interface, this  
 209 had an insignificant effect but as Figure 9 illustrates, the axial heat flux altered as the finite element mesh  
 210 moved from being a relatively coarse mesh (Mesh 1) to a succession of refined meshes (Mesh 2 and 3). The  
 211 changes in predicted heat flux at the geo-contact led to a reduction in the residual error from 0.7% to 0.2%.  
 212 It was concluded that the major source of the residual error was due to the finite element model, rather than  
 213 inherent problems in the test set-up and confirmed that it is crucial to have a heat flow measurement at the  
 214 interface.



**Figure 9. Impact of mesh refinement on radial distribution of axial heat flux at Geo-contact**

215

### 216 2.2.3. Interpolation of temperatures to geo-contact

217 In the test apparatus, it will not be possible to have continuous temperature profiles along the sample  
218 axis and the planned configuration is to have three measurement points. The first, about 1 to 2 cm from the  
219 geo-contact, and the remainder at a spacing of several centimetres, Figure 3. Therefore, the numerical  
220 analysis results have been examined in order to see how the measurement locations impact on the reliability  
221 of the inferred geo-contact thermal resistance estimate.

222 Figure 10 illustrates the interpolation through three sample points either side of the interface, at distances  
223 approximating those above, to obtain the interpolated contact temperatures,  $T_{1,extr}$  and  $T_{2,extr}$  and Table 1  
224 summarises the resulting estimates for  $R_{int}$  and its relative error at different times. A quadratic function was  
225 used to extrapolate the data to the contact and beyond Day 2, the results are largely indistinguishable.  
226 Though not shown here, different simple extrapolation functions were considered but the quadratic  
227 interpolation was found to provide a better fit, leading to relative errors of around 1%, which seems  
228 satisfactory.

229 The effect of temperature measurement location was also investigated by considering that these started  
230 closer to the geo-contact. This was found to lead to an improvement in the relative error in geo-CTR by about  
231 0.1%. To conclude, the relative error in the estimation of geo-CTR due to the extrapolation of the contact  
232 temperatures from discrete temperature measurements within the solid materials using a simple quadratic  
233 function, is expected to be less than about 1%. As noted earlier, the interpretation of the geo-CTR test could  
234 also be undertaken using inverse heat conduction analysis, and this aspect of the test interpretation is under  
235 development.

236 Table 1 highlights a further advantage of incorporating the heat flux measurement at the interface, i.e. the  
237 evaluation of the interface resistance can be made with almost equal reliability without the system having to  
238 reach a steady-state condition, i.e. the interface resistance inferred from extrapolated results does not  
239 change significantly after about half a day of heating.

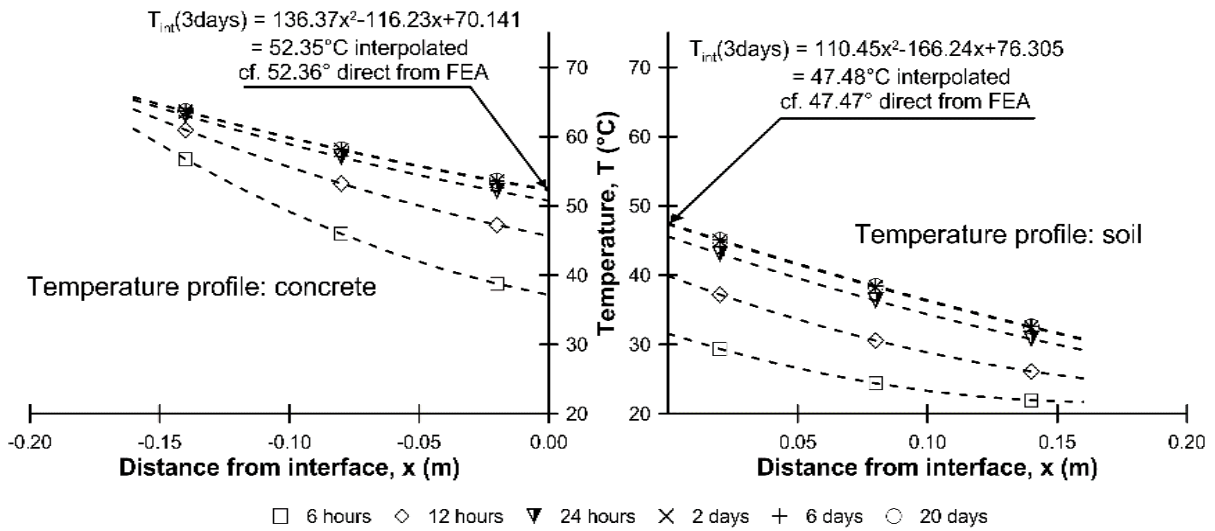


Figure 10. Interpolation of interface temperature from sample points in numerical model, 2 cm, 8 cm and 14 cm either side of geo-contact and at different times after heating commences.

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Table 1. Reliability of geo-CTR from extrapolated point temperature measurements

Source	Time (days)	$T_{1,extr}$ (°C)	$T_{2,extr}$ (°C)	$\Delta T_{int}$ (°C)	$q_2$ (W/m <sup>2</sup> )	$R_{int}$ (m <sup>2</sup> K/W)	Rel. error (%)
Quadratic extrapolation	0.25	37.15	31.55	5.59	137.1	0.0408	1.97%
	0.50	45.65	39.88	5.77	145.2	0.0397	0.64%
	1	50.75	45.59	5.15	130.1	0.0396	1.01%
	2	52.25	47.36	4.89	123.4	0.0396	0.91%
	3	52.35	47.48	4.87	123.1	0.0396	1.01%
	6	52.35	47.49	4.87	122.9	0.0396	0.96%
	20	52.36	47.48	4.87	122.9	0.0396	0.91%
FEA at interface	20	52.37	47.48	4.88	122.9	0.0397	0.70%

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#### 2.2.4. Influence of heat flow sensor resistance

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The heat flow sensor is 38.1 mm x 28.5 mm x 0.18 mm thick and presents a finite thermal resistance of about 0.0018 m<sup>2</sup>K/W and thus, will impact the flow of heat through the sample. Therefore, an additional set of analyses were undertaken where the thermal resistance across central part of the geo-contact (to a radius of 18 mm giving an equivalent sensor area of c. 1000 mm<sup>2</sup>) was increased to ( $R_{int} + 0.0018$ ) m<sup>2</sup>K/W and where  $R_{int}$  was assigned values of 0.4, 0.04 and 0.004 m<sup>2</sup>K/W. As the geo-CTR was reduced from 0.4 to 0.04 and then 0.004 m<sup>2</sup>K/W, the effect of the sensor was found to introduce an apparent error in the geo-CTR of 0.3%, 2.3% and 4.7% respectively. As expected, if the sensor resistance is similar to the geo-CTR, then its effect on the overall resistance is greater and the error in estimating the contact thermal resistance increases. However, as noted earlier when the CTR is less than about 0.04 m<sup>2</sup>K/W, the effect of CTR on heat flow is likely to be small (Figure 2) and thus, any errors in its measurement will not be important.

### 255 3. Geo-CTR TEST METHOD: PROOF OF CONCEPT

#### 256 3.1.1. Configuration

257 In the development of the final test configuration, the basic element dimensions indicated in Figure 3  
258 were retained, i.e. 20 cm diameter and 20 cm high soil and concrete specimens contained in a PVC pipe. The  
259 final test configuration includes a wooden box clad with 10 mm plywood which was used to support the tube,  
260 and the void around the tube is filled with expanded polystyrene (EPS) packaging chips in order to provide  
261 insulation and to minimise convection within the void.

262 A 20 cm diam. class SN2 un-plasticized polyvinyl chloride (uPVC) tube with a nominal wall thickness of 3.9 mm  
263 was used to contain the soil and concrete specimens. The bottom end of the tube was supported in a  
264 compatible end cap within which the heat source (180 mm diam., 385 W silicone pad heater) and heat flux  
265 sensor (HFS1) with integrated thermocouple were also contained. The HFS is a self-generating thermopile  
266 type transducer with a sensitivity of  $2.06 \mu\text{V}/(\text{W}/\text{m}^2)$  which was sourced from Omega® Engineering.

267 The heat input is managed using an electronic PID controller based on the temperature recorded at the  
268 thermocouple (TS0, Figure 3) integrated in HFS1 next to the heating pad. The set-point temperature in the  
269 controller was set to ramp to a value of 75°C (as measured by TS0) at 1°C/minute (from an initial temperature  
270 of 19-20°C), after which it was maintained to within  $\pm 0.5^\circ\text{C}$  by the controller. It should be noted that because  
271 the controller was switching the heat pad on and off to maintain the set-point, the heat flux at the base of  
272 the concrete was highly variable. However, this quantity is not needed for the interpretation of the geo-CTR.  
273 Future tests will use a rheostat type switch to control the heat flux rather than the temperature.

274 Ruggedized thermocouples (Type K) were embedded in the soil and concrete specimens, symmetrically about  
275 the interface between the two materials and with the sensor tip located on the sample centreline, as  
276 indicated in Figure 3. The thermocouples were fitted through holes drilled in the wall of the tube, supported  
277 with rubber grommets. The surfaces of the thermocouples in contact with the concrete were coated with  
278 thermal grease, to ensure good thermal contact and to aid in releasing the thermocouples for re-use in other  
279 tests.

280 Finally, HFS2 with an integrated thermocouple was located on the interface. As demonstrated in the previous  
281 section, this configuration was necessary if reliable geo-CTR values were to be obtained. During the test, all  
282 data from the HFS and TS were captured via a 16-Channel Data Acquisition system (GW Instruments, iNET-  
283 555) and recorded on a desktop computer.

#### 284 3.1.2. Materials

285 The concrete used had a 28 day compression strength of 30 MPa, a water cement ratio of 0.52, a ratio  
286 of cement to sand to coarse aggregate of 1.0:2.0:2.6, and used limestone aggregates. The concrete was  
287 placed carefully in the tube so as not to disturb the thermocouples, and was vibrated to help remove



288 entrained air pockets. The concrete was then allowed to moist cure in the tube for around a month. The soil  
 289 infill used in the preliminary testing was a dry, uniform, medium to coarse silica sand with a mean particle  
 290 size,  $D_{50}$  of about 0.6 mm. Minimum and maximum dry density values of 1.34 and 1.58  $\text{g/m}^3$  were obtained.

## 291 3.2. Initial results

### 292 3.2.1. Test 1: Loose sand

293 In this test, the sand was poured into the tube with minimal compaction. Figure 11(a) and (b)  
 294 illustrate the evolution of the temperatures recorded by the thermocouples (open symbols), and the  
 295 interpolated temperature profile along the sample centreline (dashed lines) in the concrete and loose sand  
 296 respectively. The evolution of the temperature difference at the contact and the contact heat flux are shown  
 297 in Figure 11(c), and Figure 11(d) shows the evolution of the inferred geo-CTR. In Figure 11(a) it is apparent  
 298 that the temperatures in the concrete if extrapolated closer to the base ( $x = -0.2$  m) will not reach  $70^\circ\text{C}$ . This  
 299 was attributed to either a poor contact between the concrete and the heater pad and/or non-uniformity in  
 300 the concrete sample (e.g. segregation of aggregates and cement). The arrangements for setting the heating  
 301 pad against the concrete were improved in the dense sand tests and further modified for future testing to  
 302 address this.

303 The results of this test are also summarized in Table 2. It is apparent that the method does not arrive at a  
 304 value for the geo-CTR that has a level of uncertainty as small as that suggested by the numerical analysis.  
 305 However, after 24 hours, the value is stable to within 10% of the value at 45 hours which is satisfactory.

306 **Table 2. Inferred concrete-soil contact thermal resistance from Test 1 measurements**

Source	Time (Hours)	$T_{1,\text{extr}}$ ( $^\circ\text{C}$ )	$T_{2,\text{extr}}$ ( $^\circ\text{C}$ )	$\Delta T_{\text{int}}$ ( $^\circ\text{C}$ )	$q_2$ ( $\text{W/m}^2$ )	$R_{\text{int}}$ ( $\text{m}^2\text{K/W}$ )	%-error <sup>(1)</sup>
	2	24.97	23.69	1.28	28.7	0.045	123
	4	32.63	30.85	1.78	66.7	0.027	33
	6	38.91	37.49	1.42	72.0	0.020	-1
Quadratic extrapolation	12	47.59	44.74	0.85	53.2	0.016	-20
	18	49.96	49.26	0.70	42.2	0.017	-17
	24	50.68	49.99	0.69	38.1	0.018	-9
	36	51.11	50.44	0.67	36.1	0.019	-7
	45	51.04	50.31	0.72	36.1	0.020	-

307 <sup>(1)</sup> Relative error is with respect to value at 45 hours

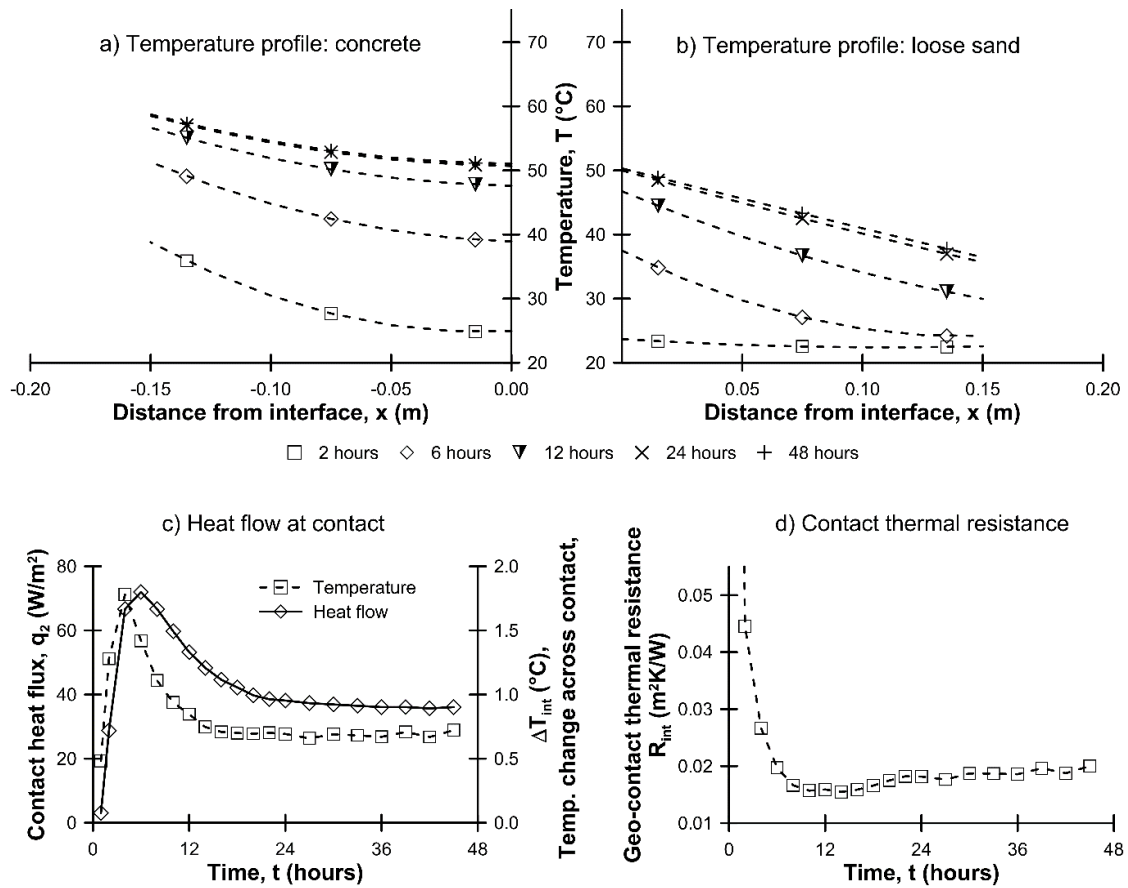


Figure 11. Temperature & heat flux measurements during Test 1

308

### 309 3.2.2. Test 2: Dense sand

310 In this test, the sand was placed in layers several centimetres thick to which 20 blows of a 5.58 kg  
 311 hammer with a diameter of 100 mm, falling a height of about 5 cm were applied to produce a dense sand  
 312 sample. Figure 12(a) and (b) illustrate the evolution of the temperatures recorded by the thermocouples  
 313 (open symbols) and the interpolated temperature profile (dashed lines). The evolution of the temperature  
 314 difference at the contact and the measured contact heat flux are shown in Figure 12(c), and Figure 12(d)  
 315 shows the evolution of the inferred geo-CTR.

316 The results of this test are summarized in Table 3. As seen in the test on loose sand, it is apparent that the  
 317 method does not arrive at a value for the geo-CTR that has a level of uncertainty as small as that suggested  
 318 by the numerical analysis. However, after 24 hours, the value is stable to within 10% (of the value at 48 hours)  
 319 which again, is satisfactory.

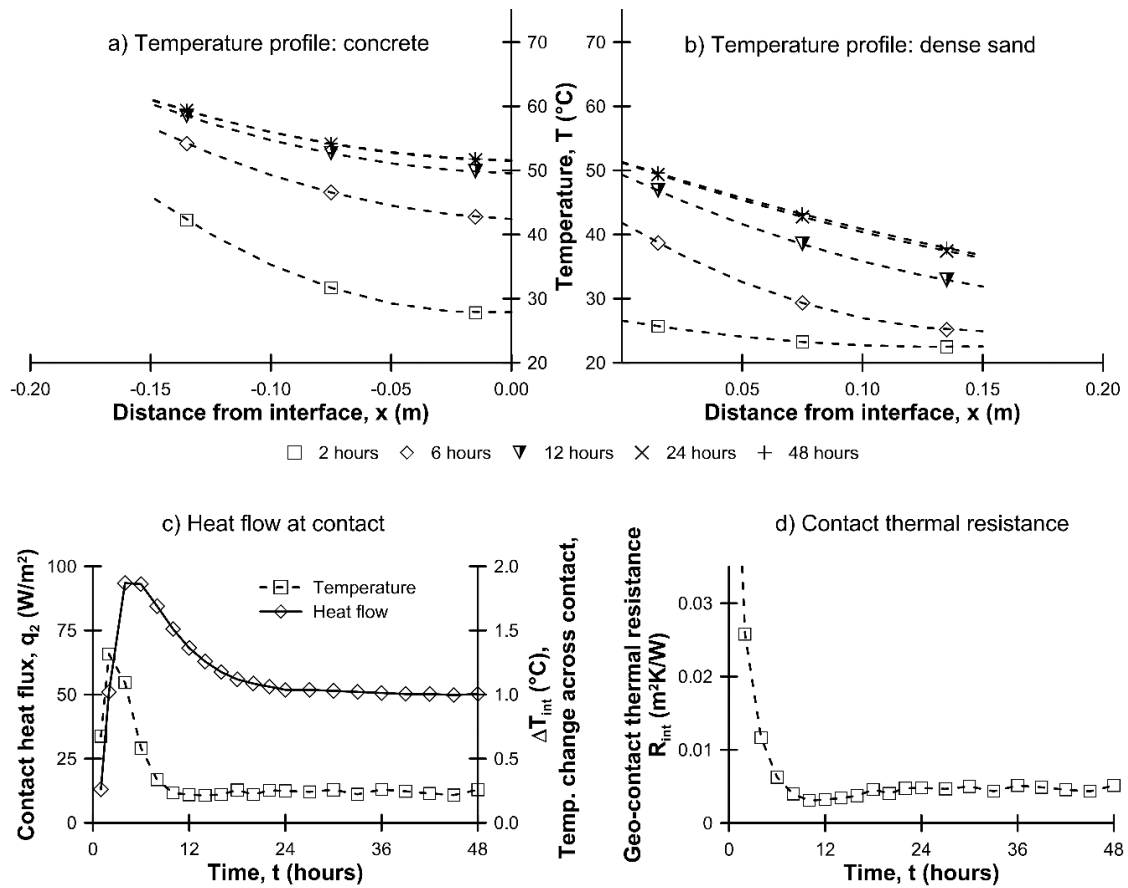


Figure 12. Temperature & heat flux measurements during Test 2

320

321

Table 3. Inferred concrete-soil contact thermal resistance from Test 2 measurements

Source	Time (Hours)	$T_{1,extr}$ (°C)	$T_{2,extr}$ (°C)	$\Delta T_{int}$ (°C)	$q_2$ (W/m <sup>2</sup> )	$R_{int}$ (m <sup>2</sup> K/W)	%-error <sup>(1)</sup>
	2	27.9	26.6	1.32	51.0	0.026	400
	4	36.5	35.4	1.09	93.5	0.012	128
	6	42.4	41.8	0.582	93.1	0.0063	22
Quadratic extrapolation	12	49.5	49.3	0.219	68.2	0.0032	37
	18	51.2	50.9	0.256	55.9	0.0046	11
	24	51.5	51.2	0.249	51.9	0.0048	6
	36	51.7	51.4	0.259	50.6	0.0051	0
	48	51.6	51.3	0.258	50.2	0.0051	-

322

<sup>(1)</sup> Relative error is with respect to value at 48 hours

323

### 3.2.3. Discussion

324

It is apparent from the results of these two tests that the geo-CTR is sensitive to the density of the sand, and a reduction from the loose to dense sand sample of 0.02 to 0.005 m<sup>2</sup>K/W. This is to be expected as a higher density equates to more particle contacts, less air voids and therefore more efficient heat flow across the geo-contact. With minimum and maximum dry density values of 1.34 and 1.58 g/m<sup>3</sup> respectively, the maximum possible increase in dry density was about 20%. However, a four-fold reduction in the geo-CTR as

328

329 the density increased was recorded in this case. To highlight the sensitivity of the soil thermal properties with  
330 respect to dry density, it is noted that Alrtimi et al. (2016) [19] report an increase in the thermal conductivity  
331 of a dry fine grained silica sand from 0.348 to 0.584 W/m.K (c. 70% increase) over a similar range of dry  
332 density.

333 Compared to the FEA, the time taken for the inferred geo-CTR to stabilise is somewhat longer, at 18 to 24  
334 hours compared to about 12 hours (Figure 8). This is most probably due to the differing thermal properties  
335 assigned in the numerical analysis, compared to those of the materials used in the test. To reduce the  
336 uncertainty in the derived geo-CTR, the calibration of the thermocouples and heat flow sensors to a higher  
337 level of accuracy is essential, as small fluctuations in the temperature or heat flux readings will have a major  
338 impact on the calculated geo-CTR.

339 The test method in its current configuration does not consider the effect of possible moisture movement in  
340 the soil or concrete, induced by thermal gradients, Hutcheon (1958) [20]. It is also possible that thermally-  
341 induced water convection could occur near the geo-contact in saturated granular soils. This is an issue  
342 common to all existing methods for measurement of the thermal conductivity of geo-materials. Future  
343 studies will examine the use of imaging technologies or probes, Lekshmi et al (2014) [21] that might allow  
344 alterations in moisture content to be measured and its effect on the inferred geo-CTR to be quantified.

#### 345 **4. CONCLUSIONS**

346 Despite being identified as a potential issue, very little appears to have been done to understand heat  
347 flow behaviour at geo-contacts, i.e. where manmade elements interface with the ground. Recent numerical  
348 studies investigating the behaviour of energy geostructures have identified the need to introduce a geo-  
349 contact thermal resistance (geo-CTR), in order to better reproduce the field behaviour of thermal response  
350 tests on energy piles.

351 This study has proposed a laboratory method for evaluating the geo-CTR which recognises that lateral heat  
352 losses are inevitable when imposing a heat flux through two solid materials, even if care is taken to isolate  
353 the test samples. Numerical analyses have been employed to demonstrate that a better estimate for the geo-  
354 CTR is obtained when the heat flow at the interface is measured directly.

355 Proof of concept testing on a dry, medium sand has shown that the methodology works and that in these  
356 materials, the geo-CTR is sensitive to the density of the soils and is at the lower end of the values suggested  
357 in other studies. Based on Figure 2, this would imply that in this particular case of a dry silica sand in contact  
358 with a limestone aggregate based concrete, the impact of the geo-CTR on energy geostructure operation and  
359 behaviour is likely to be small.

360 Ongoing studies are attempting to understand further the influence of soil and concrete mineralogy, initial  
361 state, contact roughness, moisture condition and other parameters on the geo-CTR, and to develop suitable

362 models to describe the observed response in numerical analysis. By better understanding the impact of the  
363 geo-CTR on heat exchange with the ground, it is expected that more reliable predictions of thermal  
364 performance and thermo-mechanical interactions will be obtained, improving the efficiency and reducing  
365 the risks associated with the use of energy geo-structures in the future.

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